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# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/056,236

01/23/2002

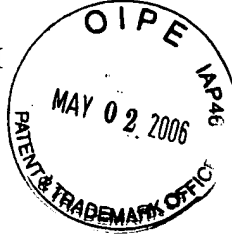
Richard S. Dick

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LAW OFFICES OF ROBERT SABATH  
111 NORTH MARKET STREET  
SUITE 815  
SAN JOSE, CA 95113



EXAMINER

COBANOGLU, DILEK B

ART UNIT

PAPER NUMBER

3626

DATE MAILED: 04/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.



## DETAILED ACTION

1. Claims 1-33 have been examined.

### ***Double Patenting***

2. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

3. A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

4. Claims 1-33 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-30 of copending Application No. 09/794,983. Although the conflicting claims are not identical, they are not patentably distinct from each other because the only addition to the new application is de-identifying the medical records for the security reasons and using security on private information about the patient when transmitting patient medical information over a network is well known in the art.

5. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this

Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claims 1-33 are rejected under 35 U.S.C. 102(e) as being unpatentable by Bessette (U.S. Patent No. 6,775,670 B2).

A. As per claim 1, Bessette discloses a method of searching for medical information executed by one or more computers comprising the steps of:

a) formulating a record request for patient medical information

(Bessette; col. 8, lines 3-7);

b) forwarding the record request to a facilitator, wherein the facilitator reviews the record request and determines which patient record sources to contact (Bessette; col. 6, lines 35-40 and col. 8, lines 7-22);

c) contacting at least one patient record source with a record query electronically requesting information regarding a patient (Bessette; col. 8, lines 3-22);

d) initiating an electronic search of medical records within the patient record source (Bessette; col. 8, lines 3-22);

e) de-identifying or masking the medical records retrieved from the patient record source (Bessette; col. 11, lines 43-55); and  
f) returning a patient record report containing information held by the patient record source (Bessette; col. 8, lines 20-22), wherein the patient record report and the information returned therein is void of any identifying information (Bessette; col. 11, lines 43-55).

B. As per claim 2, Bessette discloses a method as set forth in claim 1, wherein the information from the patient record source is not released before a physician gives approval (Bessette; col. 8, lines 57-65).

Examiner considers that two doctors in different locations share access to an individual's medical information, studying the record and communicate has the same meaning of one doctor giving the other an approval to view the medical records of the specific patient.

C. As per claim 3, Bessette discloses a method as set forth in claim 1, wherein the information from the patient record source is released automatically (Bessette; col. 8, lines 57-65).

D. As per claim 4, Bessette discloses a method as set forth in claim 1, wherein the facilitator receives the patient record report in a machine readable format augments the report before forwarding it to the requester (Bessette; col. 3, line 60 to col. 4, line 1).

E. As per claim 5, Bessette discloses a method as set forth in claim 1, wherein the facilitator receives the patient record report and normalizes

and augments the report before forwarding it to the requester (Bessette; col. 15, lines 31-38).

F. As per claim 6, Bessette discloses a method as set forth in claim 1, wherein the patient record source is a CIS (Bessette; col. 6, lines 35-40).

G. As per claim 7, Bessette discloses a method as set forth in claim 1, wherein the patient record source is an EMR system or a network distributed shared medical records (NDSMR) (Bessette; col. 4, lines 2-10 and col.7, lines 15-27).

H. As per claim 8, Bessette discloses a method as set forth in claim 5, wherein the search is conducted using software modules installed in a central server, CIS vendor server and a CIS (Bessette; col. 9, lines 3-10).

I. As per claim 9, Bessette discloses a method as set forth in claim 7, wherein if the search determines that the information is available at one of its sources, the information is forwarded in a patient record report to the requestor (Bessette; col. 8, lines 8-22).

J. As per claim 10, Bessette discloses a method as set forth in claim 1, wherein the facilitator receives a patient record report and normalizes that report into a format acceptable to the requestor (Bessette; col. 12, lines 35-51).

K. As per claim 11, Bessette discloses a method as set forth in claim 9, wherein the facilitator receives patient record reports from several patient record sources and combines those reports into an augmented patient

record report which is forwarded to the requestor (Bessette; col. 15, lines 31-38).

L. As per claim 12, Bessette discloses the method as set forth in claim 1, wherein the step of de-identifying comprises applying a de-identifying methodology to remove and make certain no personally identifiable information is released (Bessette; col. 11, lines 43-55).

M. As per claim 13, Bessette discloses a method of searching for medical information executed by one or more computers, the method comprising the steps of:

- a) formulating a record query requesting information regarding an individual (Bessette; col. 8, lines 3-7);

- b) forwarding the record query to a patient record source (Bessette; col. 6, lines 35-40 and col. 8, lines 7-22);

- c) receiving a patient record report from the patient record source, said patient record report being de-identified to remove identifying information (Bessette; col. 8, lines 20-22 and col. 11, lines 43-55).

N. As per claim 14, Bessette discloses a method as set forth in claim 13, wherein the patient record source is a computerized patient record manager (Bessette; col. 4, lines 2-10).

O. As per claim 15, Bessette discloses a method as set forth in claim 14, wherein the computerized patient record manager has contact with other patient record sources and queries those patient record sources before



responding to the record query with a patient record report (Bessette; col. 7, lines 15-27 and Fig. 3).

P. As per claim 16, Bessette discloses a method as set forth in claim 13, wherein the step of forwarding the record query and the step of receiving a patient record are carried out using secure and encrypted means for communication (Bessette; col. 10, lines 23-26).

Q. As per claim 17, Bessette discloses a method for providing medical information executed by at least one computer, the method comprising the steps of:

- a) receiving a record query requesting information regarding an individual (Bessette; col. 8, lines 3-7);
- b) searching a registry of databases to locate the available records (Bessette; col. 6, lines 35-40 and col. 8, lines 7-22); c) obtaining the records;
- d) de-identifying the records (Bessette; col. 11, lines 43-55); and
- e) forwarding the records to the requester (Bessette; col. 8, lines 20-22).

R. As per claim 18, Bessette discloses a method as set forth in claim 17, wherein the step of receiving a record query comes from a voice telephone call supplemented by a form of authorization and verification (Bessette; col. 8, lines 57-65).

S. As per claim 19, Bessette discloses a method for determining a location of a patient record created by a healthcare giver at a healthcare facility comprising the steps of:

- a) establishing computer network connectivity between a request facilitator central server and a plurality of healthcare facilities' databases, said plurality of databases being populated by information identifying the healthcare givers using the healthcare facility database and dates for which the healthcare giver was associated with the healthcare facilities' databases to the request facilitator (Bessette; col. 7, lines 15-27 and col. 8, lines 3-22);
- b) transmitting the identifying information from the plurality of healthcare facilities' databases to the request facilitator's central server including information indicating from which health care facility database the identifying information originates (Bessette; col. 8, lines 3-8);
- c) creating a searchable index of the identifying information (Bessette; col. 8, lines 8-16);
- d) submitting a request for the patient record to the request facilitator, the request including information identifying the healthcare giver and an approximate date of creation of the patient record (Bessette; col. 8, lines 3-22, col. 11, lines 10-14 and col. 14, lines 10-16);

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- e) querying the searchable index based on the request to determine the location of the patient record (Besette; col. 8, lines 3-22); and
- f) identifying the location of the patient record (Besette; col. 8, lines 3-22).

T. As per claim 20, Besette discloses the method of claim 19 wherein at least one of the plurality of healthcare facilities' databases is operated by a healthcare facility (Besette; col. 9, lines 3-10 and Fig. 3).

U. As per claim 21, Besette discloses the method of claim 19 wherein at least one of the plurality of healthcare facilities' databases is operated by a CIS vendor (Besette; col. 9, lines 3-10).

V. As per claim 22, Besette discloses the method of claim 19 wherein the identifying information includes the healthcare giver's DEA number (Besette; col. 11, lines 10-14).

W. As per claim 23, Besette discloses the method of claim 19 wherein the computer network connectivity is established over the Internet (Besette; col. 6, lines 45-51).

X. As per claim 24, Besette discloses the method of claim 19 comprising the additional step of releasing and transmitting the patient record from the location to the request facilitator (Besette; col. 8, lines 3-22).

Y. As per claim 25, Besette discloses the method of claim 24, wherein the patient record is de-identified prior to it being transmitted (Besette; col. 11, lines 43-55).

Z. As per claim 26, Bessette discloses the method of claim 19 comprising the additional step of releasing and transmitting the patient record from the location to a requestor making the request (Bessette; col. 8, lines 3-22).

AA. As per claim 27, Bessette discloses the method of claim 26, wherein the patient record is de-identified prior to it being transmitted (Bessette; col. 11, lines 43-55).

BB. As per claim 28, Bessette discloses the method of claim 24 wherein the step of releasing and transmitting the patient record is specifically authorized by an appropriate healthcare giver (Bessette; col. 8, lines 57-65).

CC. As per claim 29, Bessette discloses the method of claim 24 wherein the step of releasing and transmitting the patient record is by an appropriate healthcare giver is monitored for timeliness (Bessette; col. 8, lines 43-50).

DD. As per claim 30, Bessette discloses the method of claim 24 wherein the step of releasing and transmitting the patient record is automatically authorized by an appropriate healthcare giver (Bessette; col. 8, lines 57-65).

EE. As per claim 31, Bessette discloses the method of claim 19 wherein the location of the patient record is one of a plurality of computer information systems separate from the healthcare facilities' databases (Bessette; col. 7, lines 15-27).

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FF. As per claim 32, Bessette discloses the method of claim 31 further comprising the step of additionally establishing computer network connectivity between the plurality of healthcare facilities' databases and the plurality of computer information systems (Bessette; col. 7, lines 15-27).

GG. As per claim 33, Bessette discloses the method of claim 32 wherein the healthcare facilities' databases are populated by identifying information transmitted from the plurality of computer systems where the patient records are located (Bessette; col. 11, lines 10-14).

### ***Conclusion***


8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The cited but not used prior art teach "Apparatus and method for processing and/or for providing healthcare information and/or healthcare-related information" 6,283,761 B1, "Prescription creation system" 5,737,539 A, "Prescription management system" 5,845,255 A, "Augmentation system for documentation" 2001/0042080, "Integrated multidimensional database" 2001/0049681, "Medical image capture system and method" 2002/0073429, "Medical records, documentation, tracking and order entry system" 2002/0072934, "Integrated multidimensional database" 6,675,166 B2.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dilek B. Cobanoglu whose telephone number is 571-272-8295. The examiner can normally be reached on 8-4:30.

Art Unit: 3626

10. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Thomas can be reached on 571-272-6776. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

11. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
DBC  
Art Unit 3626  
03/30/2006

  
C. LUKE GILLIGAN  
PATENT EXAMINER

Form PTO-1449 (modified)

Atty. Docket No.

UHGI:153US

Serial No.

10/056,236

List of Patents and Publications for Applicant's

Applicant

Richard S. Dick

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

January 23, 2002

Group:

2161

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 2

Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
DBC	A31	09/883,884		Dick			6/18/01
	A32	09/596,810		Dick			6/13/00
	A33	2002/0111833	8/15/02	Dick	705	3	1/23/02
	A34	2001/0053986	12/20/01	Dick	705	3	2/27/01
	A35	5,065,315	11/12/91	Garcia	364	413.01	10/24/89
	A36	5,301,105	04/05/94	Cummings	364	401	04/08/91
	A37	5,659,741	08/19/97	Eberhardt	395	615	04/17/95
	A38	5,799,282	8/25/98	Rakshit <i>et al.</i>	705	2	5/19/92
	A39	5,832,488	11/03/98	Eberhardt	707	10	1/22/97
	A40	5,850,442	12/15/98	Muftic	380	21	3/26/96
	A41	5,862,223	1/19/99	Walker <i>et al.</i>	380	25	7/24/96
	A42	5,867,821	02/02/99	Ballantyne <i>et al.</i>	705	2	2/16/96
	A43	5,913,197	6/15/99	Kameda	705	3	11/7/96
	A44	5,960,085	9/28/99	De la Hueraga	340	5.61	4/14/97
	A45	6,018,713	01/25/00	Coli <i>et al.</i>	705	2	04/09/98
	A46	6,047,259	4/04/00	Campbell <i>et al.</i>	705	3	12/30/97
	A47	6,073,106	06/06/00	Rozen <i>et al.</i>	705	3	10/30/98
	A48	6,076,166	06/13/00	Moshfeghi <i>et al.</i>	713	201	01/17/97
	A49	6,243,480	6/05/01	Zhao <i>et al.</i>	382	100	4/30/98
	A50	6,269,348	7/31/01	Pare <i>et al.</i>	705	39	1/29/99
	A51	6,370,139	4/09/02	Scott	370	354	10/24/97
DBC	A52	6,651,060	11/18/03	Harper <i>et al.</i>	707	9	11/01/00

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EXAMINER:

Dilek B. Gbaur

DATE CONSIDERED:

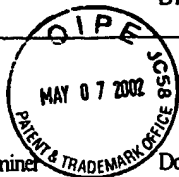
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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Applicants: RICHARD S. DICK  
 Serial No.: 10/056,236  
 Filing Date: JANUARY 23, 2002  
 For: METHOD AND APPARATUS FOR  
 REQUESTING RETRIEVING, AND OBTAINING  
 DE-IDENTIFIED MEDICAL INFORMATION

Atty Docket No. 9595.10  
 Group Art Unit: Not Yet Known



U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
<u>DBC</u> A1.	6,182,047	01/30/01	DIRBAS	705	3	06/02/95
<u>  </u> A2.	6,151,581	11/21/00	KRAFTSON ET AL.	705	3	12/16/97
<u>  </u> A3.	6,131,090	10/10/00	BASSO, JR. ET AL.	706	23	03/04/97
<u>  </u> A4.	6,128,620	10/03/00	PISSANOS ET AL.	707	102	02/02/99
<u>  </u> A5.	6,125,350	09/26/00	DIRBAS	705	2	09/20/95
<u>  </u> A6.	6,088,695	07/11/00	KARA	707	10	09/17/96
<u>  </u> A7.	6,076,166	06/13/00	MOSHFEQHI ET AL.	713	201	01/17/97
<u>  </u> A8.	6,073,106	06/06/00	ROZEN ET AL.	705	3	10/30/98
<u>  </u> A9.	6,055,506	04/25/00	FRASCA, JR.	705	3	04/25/97
<u>  </u> A10.	6,034,605	03/07/00	MARCH	340	573.1	12/08/98
<u>  </u> A11.	6,026,363	02/15/00	SHEPARD	705	3	01/06/98
<u>  </u> A12.	6,018,713	01/25/00	COLI ET AL.	705	2	04/09/98
<u>  </u> A13.	5,995,939	11/30/99	BERMAN ET AL.	705	3	10/14/97
<u>  </u> A14.	5,991,730	11/23/99	LUBIN ET AL.	705	3	10/08/97
<u>  </u> A15.	5,974,389	10/26/99	CLARK ET AL.	705	3	03/01/96
<u>  </u> A16.	5,953,809	09/21/99	KOWALSKI	29	521	09/25/97
<u>  </u> A17.	5,924,074	07/13/99	EVANS	705	3	09/27/96
<u>  </u> A18.	5,913,197	06/15/99	KAMEDA	705	3	11/07/96
<u>  </u> A19.	5,899,998	05/04/99	McGAULEY ET AL.	707	104	08/31/95
<u>  </u> A20.	5,890,129	03/30/99	SPURGEON	705	4	05/30/97
<u>  </u> A21.	5,867,821	02/02/99	BALLANTYNE ET AL.	705	2	02/16/96
<u>DBC</u> A22.	5,823,948	10/20/98	ROSS, JR. ET AL.	600	300	07/08/96

Examiner:

*Dilek B. Gbanga*

Date Considered:

*3/30/2006*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Applicants: RICHARD S. DICK  
 Serial No.: 10/056,236  
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 For: METHOD AND APPARATUS FOR  
 REQUESTING RETRIEVING, AND OBTAINING  
 DE-IDENTIFIED MEDICAL INFORMATION

Atty Docket No. 9595.10  
 Group Art Unit: Not Yet Known



<u>DBC</u> A23.	5,832,450	11/03/98	MYERS ET AL.	705	3	05/05/97
A24.	5,812,983	09/22/98	KUMAGAI	705	3	08/03/95
A25.	5,772,585	06/30/98	LAVIN ET AL.	600	300	08/30/96
A26.	5,644,778	07/01/97	BURKS ET AL.	395	800	11/02/93
A27.	5,579,393	11/26/96	CONNER ET AL.	380	25	06/21/94
A28.	5,499,293	03/12/96	BEHRAM ET AL.	380	4	01/24/95
A29.	5,327,341	07/05/94	WHALEN ET AL.	364	413.01	10/28/91
<u>DBC</u> A30.	5,291,399	03/01/94	CHACO	364	413.02	07/27/90

#### Prior Art Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

ODMA\PCDOCS\DOCS\620789\1

Examiner:

*Dale R. Gausler*

Date Considered:

*03/30/2006*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified)

Atty. Docket No.  
UHGI:153USSerial No.  
10/056,236

List of Patents and Publications for Applicant's

Applicant  
Richard S. Dick

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
January 23, 2002Group:  
2161U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 1

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
DBC	B1	DE 3943097	07/1991	Germany			Abstract

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
DBS		

25563216.1

EXAMINER:

Dilek Bobanoglu

DATE CONSIDERED:

03/30/2006

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



**Notice of References Cited**

Application/Control No.

10/056,236

Applicant(s)/Patent Under  
Reexamination  
DICK, RICHARD S.

Examiner

Dilek B. Cobanoglu

Art Unit

3626

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,775,670	08-2004	Bessette, Luc	707/10
*	B	US-6,283,761	09-2001	Joao, Raymond Anthony	434/236
*	C	US-5,737,539	04-1998	Edelson et al.	705/3
*	D	US-5,845,255	12-1998	Mayaud, Christian	705/3
*	E	US-2001/0042080	11-2001	Ross, Gary E.	707/506
*	F	US-2001/0049681	12-2001	Bova, G. Steven	707/10
*	G	US-2002/0073429	06-2002	Beane et al.	725/105
*	H	US-2002/0072934	06-2002	Ross et al.	705/3
*	I	US-6,675,166	01-2004	Bova, G. Steven	707/10
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.